

# Sets

## Lectures 7 and 8 (Chapter 16)

# Sets

We will not give a precise definition of what *is* a set, but we will say precisely what you can *do* with it.

(Think of a set as a collection of things of which order and multiplicity do not matter.)

### Examples:

- ▶  $\{0, 1, 2, 3\} = \{3, 1, 0, 2\} = \{3, 1, 1, 1, 0, 0, 2\}$ .
- ▶  $\{6\}$  is the singleton set (NB:  $\{6\} \neq 6$ ).
- ▶  $\emptyset$  denotes the empty set (i.e., the set without elements).
- ▶  $\mathbb{N}, \mathbb{Z}$ : standard set-theoretic notations for sets of numbers.

# Specifying sets, membership

We write  $t \in X$  for “ $t$  is an element of the set  $X$ ”.

### Specifying a set using a predicate

$\{x \in D \mid P(x)\}$ : the set of all  $x \in D$  such that  $P(x)$ .

### Examples

- ▶  $\{n \in \mathbb{N} \mid n > 10\}$  is the set of all natural numbers greater than 10;
- ▶  $\{x \in \mathbb{Z} \mid x^2 + x \leq 0\} = \{-1, 0\}$ .

### Membership

The element-of predicate  $\in$  is a binary predicate.

#### Property of $\in$ :

$$t \in \{x \in D \mid P(x)\} \stackrel{val}{=} t \in D \wedge P(t)$$

# Subset, universe

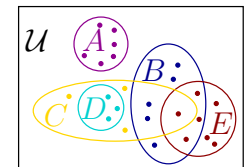
$$A \subseteq B \stackrel{def}{=} \forall x [x \in A : x \in B]$$

$A$  is a **subset** of  $B$  (notation:  $A \subseteq B$ ) if every element of  $A$  is also an element of  $B$

#### Property of $\subseteq$ :

$$(A \subseteq B) \wedge t \in A \stackrel{val}{=} t \in B$$

The **universe**, denoted by  $\mathcal{U}$ , is a set of which all sets *in a particular context* are subsets.



#### Property of $\mathcal{U}$ :

$$t \in \mathcal{U} \stackrel{val}{=} \text{True}$$

## Equality of sets

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Two sets are **equal** if they have exactly the same elements.

### Example

$$\{x \in \mathbb{Z} \mid x > 0\} = \{n \in \mathbb{N} \mid n \neq 0\}$$

$$A = B \stackrel{\text{def}}{=} A \subseteq B \wedge B \subseteq A$$

### Property of =:

$$\begin{aligned} A = B &\stackrel{\text{val}}{=} \forall x [x \in A \Leftrightarrow x \in B] \\ A = B \wedge t \in A &\stackrel{\text{val}}{=} t \in B \\ A = B \wedge t \in B &\stackrel{\text{val}}{=} t \in A \end{aligned}$$

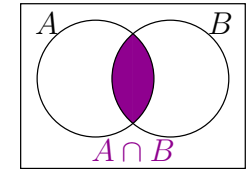
### Leibniz for =:

$$\frac{A = B}{\dots A \dots = \dots B \dots}$$

## Intersection

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The **intersection** of  $A$  and  $B$  is the set of everything that is both in  $A$  and in  $B$



$$A \cap B \stackrel{\text{def}}{=} \{x \in \mathcal{U} \mid x \in A \wedge x \in B\}$$

### Example

$$\{n \in \mathbb{N} \mid n > 5\} \cap \{n \in \mathbb{N} \mid n < 10\} = \{6, 7, 8, 9\}$$

### Property of $\cap$ :

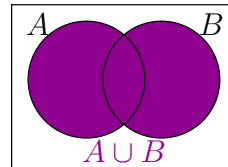
$$t \in A \cap B \stackrel{\text{val}}{=} t \in A \wedge t \in B$$

## Union

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The **union** of  $A$  and  $B$  is the set of everything that is in  $A$  or in  $B$

$$A \cup B \stackrel{\text{def}}{=} \{x \in \mathcal{U} \mid x \in A \vee x \in B\}$$



### Example

$$\{n \in \mathbb{N} \mid n > 5\} \cup \{n \in \mathbb{N} \mid n < 10\} = \mathbb{N}$$

### Property of $\cup$ :

$$t \in A \cup B \stackrel{\text{val}}{=} t \in A \vee t \in B$$

## Commutativity and associativity of $\cap$ and $\cup$

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- ▶  $\cap$  and  $\cup$  are **commutative**:

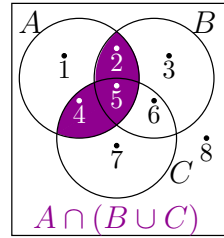
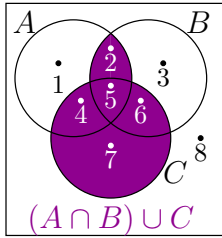
$$\begin{aligned} A \cap B &= B \cap A, \text{ and} \\ A \cup B &= B \cup A; \end{aligned}$$

- ▶  $\cap$  and  $\cup$  are **associative**:

$$\begin{aligned} (A \cap B) \cap C &= A \cap (B \cap C), \text{ and} \\ (A \cup B) \cup C &= A \cup (B \cup C). \end{aligned}$$

# Example

$(A \cap B) \cup C = A \cap (B \cup C)$  does **not hold** for all sets  $A, B$  and  $C$ !



## Counterexample:

Let  $A = \{1, 2, 4, 5\}$ ,  $B = \{2, 3, 5, 6\}$  and  $C = \{4, 5, 6, 7\}$ .

Then  $A \cap B = \{2, 5\}$ ,  $(A \cap B) \cup C = \{2, 4, 5, 6, 7\}$ ,

$B \cup C = \{2, 3, 4, 5, 6, 7\}$ , and  $A \cap (B \cup C) = \{2, 4, 5\}$ .

So  $(A \cap B) \cup C = \{2, 4, 5, 6, 7\} \neq \{2, 4, 5\} = A \cap (B \cup C)$ .

# Reasoning with the subset predicate

## $\subseteq$ -introduction:

	{ Assume: }
(k)	<b>var</b> $x; x \in A$
	⋮
(ℓ - 2)	$x \in B$
	{ $\forall$ -intro on (k) and (ℓ - 2): }
(ℓ - 1)	$\forall x[x \in A : x \in B]$
	{ Definition of $\subseteq$ on (ℓ - 1): }
(ℓ)	$A \subseteq B$

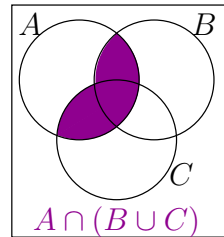
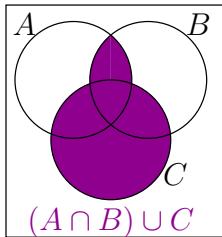
(↑)

## $\subseteq$ -elimination:

(k)	$A \subseteq B$
(ℓ)	$t \in A$
	{ Property of $\subseteq$ on (k) and (ℓ): }
(m)	$t \in B$

(↓)

# Example



## Fact

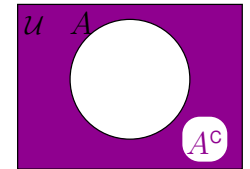
$A \cap (B \cup C) \subseteq (A \cap B) \cup C$  does hold.

[Proof on blackboard]

# Complement

The **complement**  $A^c$  of  $A$  is the set of everything not in  $A$

$$A^c \stackrel{\text{def}}{=} \{x \in \mathcal{U} \mid \neg(x \in A)\}$$



## Example

(Suppose that  $\mathcal{U} = \mathbb{Z}$ )  
 $\{x \in \mathbb{Z} \mid x \geq 0\}^c = \{x \in \mathbb{Z} \mid x < 0\}$

<b>Property of <math>^c</math>:</b>
$t \in A^c \stackrel{\text{val}}{=} \neg(t \in A)$

NB: For computing  $^c$  it is important to know what is the universe:

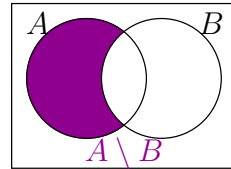
- ▶ If  $\mathcal{U} = \mathbb{N}$ , then  $\{0, 1\}^c = \{n \in \mathbb{N} \mid n \geq 2\}$
- ▶ If  $\mathcal{U} = \mathbb{Z}$ , then  $\{0, 1\}^c = \{x \in \mathbb{Z} \mid x < 0 \vee x \geq 2\}$ .

## Difference

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The **difference** of  $A$  and  $B$  is the set of everything that is in  $A$ , but not also in  $B$

$$A \setminus B \stackrel{\text{def}}{=} \{x \in \mathcal{U} \mid x \in A \wedge \neg(x \in B)\}$$



### Example

$$\{n \in \mathbb{N} \mid n > 5\} \setminus \{n \in \mathbb{N} \mid n < 10\} = \{n \in \mathbb{N} \mid n \geq 10\}$$

### Property of $\setminus$ :

$$t \in A \setminus B \stackrel{\text{val}}{=} t \in A \wedge \neg(t \in B)$$

## Equality of sets (reasoning)

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### =-introduction:

$$\begin{array}{l} \vdots \\ (k) \quad A \subseteq B \\ \vdots \\ (\ell - 2) \quad B \subseteq A \\ \quad \{ \wedge\text{-intro on } (k) \text{ and } (\ell - 2): \} \\ (\ell - 1) \quad A \subseteq B \wedge B \subseteq A \\ \quad \{ \text{Definition of } = \text{ on } (\ell - 1): \} \\ (\ell) \quad A = B \end{array}$$

(↑)

### =-elimination:

$$\begin{array}{l} \text{|||} \\ (k) \quad A = B \\ \text{|||} \\ \quad \{ \text{Definition of } = \text{ on } (k): \} \\ (\ell) \quad A \subseteq B \wedge B \subseteq A \end{array}$$

(↓)

## Examples

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Assume  $\mathcal{U} = \mathbb{Z}$ .

- ▶ Prove that  $A \setminus B^c = A \cap B$  for all sets  $A$  and  $B$ .

[Proof on blackboard]

- ▶ Determine for each of the following formulas whether it holds for all sets  $A$  and  $B$ . If so, then give a proof; if not, then give a counterexample:

- $A \setminus B = A \Rightarrow A = B^c$ ;
- $A = B^c \Rightarrow A \setminus B = A$ .

## Notation

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$$0 \notin \{\{0\}\}$$

$$\{0\} \in \{\{0\}\}$$

$$\emptyset \notin \{\{0\}\}$$

$$\{\{0\}\} \notin \{\{0\}\}$$

$$0 \not\subseteq \{\{0\}\}$$

$$\{0\} \not\subseteq \{\{0\}\}$$

$$\emptyset \subseteq \{\{0\}\}$$

$$\{\{0\}\} \subseteq \{\{0\}\}$$

## Empty set

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The *empty set*  $\emptyset$  is the unique set without elements.

$$\emptyset = \{x \in \mathcal{U} \mid \text{False}\}$$

**Property of  $\emptyset$ :**

$$t \in \emptyset \stackrel{\text{val}}{=} \text{False}$$

$$\emptyset \subseteq A \stackrel{\text{val}}{=} \text{True}$$

$$A \subseteq \emptyset \stackrel{\text{val}}{=} \forall_x [x \in A : \text{False}]$$

$$\emptyset \subseteq A$$

$$\stackrel{\text{val}}{=} \{ \text{Definition } \subseteq \}$$

$$\forall_x [x \in \emptyset : x \in A]$$

$$\stackrel{\text{val}}{=} \{ \text{Property of } \emptyset \}$$

$$\forall_x [\text{False} : x \in A]$$

$$\stackrel{\text{val}}{=} \{ \text{Empty domain} \}$$

$$\text{True}$$

$$A \subseteq \emptyset$$

$$\stackrel{\text{val}}{=} \{ \text{Definition of } \subseteq \}$$

$$\forall_x [x \in A : x \in \emptyset]$$

$$\stackrel{\text{val}}{=} \{ \text{Property of } \emptyset \}$$

$$\forall_x [x \in A : \text{False}]$$

## Alternative property of empty set

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From previous slide:

$$(*) \quad \emptyset \subseteq A \stackrel{\text{val}}{=} \text{True}$$

$$(**) \quad A \subseteq \emptyset \stackrel{\text{val}}{=} \forall_x [x \in A : \text{False}]$$

So:

$$A = \emptyset$$

$$\stackrel{\text{val}}{=} \{ \text{Definition of } = \}$$

$$A \subseteq \emptyset \wedge \emptyset \subseteq A$$

$$\stackrel{\text{val}}{=} \{ (* ) + \text{True/False-elimination} \}$$

$$A \subseteq \emptyset$$

$$\stackrel{\text{val}}{=} \{ (** ) \}$$

$$\forall_x [x \in A : \text{False}]$$

**Property of  $\emptyset$ :**

$$A = \emptyset \stackrel{\text{val}}{=} \forall_x [x \in A : \text{False}]$$

## Example

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Prove that  $A \cup B = A \Rightarrow B \setminus A = \emptyset$  for all sets  $A$  and  $B$ .

[Proof on blackboard. (Also available as [detailed example of a derivation-style proof of a set-theoretic property](#) from [Course Material](#) section of the [website](#))]

## Powerset

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The *powerset*  $\mathcal{P}(A)$  of  $A$  is the set of all subsets of  $A$ .

### Examples

- ▶  $\mathcal{P}(\{4, 6\}) = \{\emptyset, \{4\}, \{6\}, \{4, 6\}\}$
- ▶  $\mathcal{P}(\{1, 2, 3\}) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$
- ▶  $\emptyset \in \mathcal{P}(\mathbb{R}), [0, 5] \in \mathcal{P}(\mathbb{R})$
- ▶  $1 \notin \mathcal{P}(\mathbb{R}), \{1\} \in \mathcal{P}(\mathbb{R}), \{2, 5, 7\} \in \mathcal{P}(\mathbb{R})$
- ▶  $\mathbb{N} \in \mathcal{P}(\mathbb{R}), \mathbb{R} \in \mathcal{P}(\mathbb{R})$
- ▶  $\mathcal{P}(\{\emptyset, \{\emptyset\}\}) = \{\emptyset, \{\emptyset\}, \{\{\emptyset\}\}, \{\emptyset, \{\emptyset\}\}\}$

If  $\#A = n$ , then  $\#\mathcal{P}(A) = 2^n$ .

**Property of  $\mathcal{P}$ :**

$$C \in \mathcal{P}(A) \stackrel{val}{=} C \subseteq A$$

**Examples**

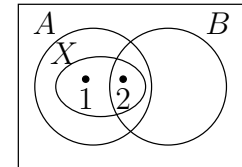
- ▶ Prove that  $\mathcal{P}(A) \subseteq \mathcal{P}(A \cup B)$  for all sets  $A$  and  $B$ .  
[Exercise]
- ▶ Does  $\mathcal{P}(A) \setminus \mathcal{P}(B) \subseteq \mathcal{P}(A \setminus B)$  hold for all sets  $A$  and  $B$ ?  
[Answer on next slide]

$$\begin{aligned} X &\in \mathcal{P}(A) \setminus \mathcal{P}(B) \\ \stackrel{val}{=} &\{ \text{Property of } \setminus \} \\ &X \in \mathcal{P}(A) \wedge \neg(X \in \mathcal{P}(B)) \\ \stackrel{val}{=} &\{ \text{Property of } \mathcal{P} (2\times) \} \\ &X \subseteq A \wedge \neg(X \subseteq B) \end{aligned}$$

$$\begin{aligned} X &\in \mathcal{P}(A \setminus B) \\ \stackrel{val}{=} &\{ \text{Property of } \mathcal{P} \} \\ &X \subseteq A \setminus B \end{aligned}$$

**Counterexample:**

Let  $A = \{1, 2\}$ ,  $B = \{2\}$  and  $X = \{1, 2\}$ .  
Then  $X \subseteq A$ , so  $X \in \mathcal{P}(A)$ .  
And  $\neg(X \subseteq B)$ , so  $\neg(X \in \mathcal{P}(B))$ .  
Hence,  $X \in \mathcal{P}(A) \setminus \mathcal{P}(B)$ .  
On the other hand,  $A \setminus B = \{1\}$ , so  $\neg(X \subseteq A \setminus B)$ .  
Hence,  $X \notin \mathcal{P}(A \setminus B)$ .



The **Cartesian product**  $A \times B$  is the set of pairs  $(a, b)$  with  $a \in A$  and  $b \in B$ .

**Examples**

- ▶  $\{0, 1\} \times \{3, 5, 7\} = \{(0, 3), (0, 5), (0, 7), (1, 3), (1, 5), (1, 7)\}$
- ▶  $\mathbb{N} \times \mathbb{Z} = \{(n, x) \mid n \in \mathbb{N} \wedge x \in \mathbb{Z}\}$
- ▶  $(3, -2) \in \mathbb{N} \times \mathbb{Z}$
- ▶  $(-2, 3) \notin \mathbb{N} \times \mathbb{Z}$

NB:  $A^2 = A \times A$ ,  $A^3 = A \times A \times A$ , etc.

**Property of  $\times$ :**

$$(a, b) \in A \times B \stackrel{val}{=} a \in A \wedge b \in B$$

**Example**

Prove that  $A \subseteq B \Rightarrow A^2 \subseteq A \times B$  for all sets  $A$  and  $B$ .

[See Section 16.9 of the book for the construction of a very similar proof.]

In set theory, brackets have a meaning, and different brackets have different meanings!

$0$	:	a <b>number</b>
$\{0\}$	:	a <b>set</b> (containing a number)
$\{\{0\}\}$	:	a <b>set</b> (containing a set containing a number)
$\{0, 1\}$	:	a <b>set</b> (containing two numbers, order does not matter)
$(0, 1)$	:	a <b>pair</b> of numbers (order does matter!)
$\{(0, 1)\}$	:	a <b>set</b> (containing a pair of numbers)
$\emptyset$	:	a <b>set</b> (containing nothing)
$\{\emptyset\}$	:	a <b>set</b> (containing the empty set)

A **proof** of a set-theoretic property is a *convincing* argument based on given definitions and properties of sets (see table on p. 381\*)

The logical reasoning structure of the proof must be clear and valid, but you may omit references to the names of the *logical reasoning steps* ( $\wedge$ -intro,  $\Rightarrow$ -elim,  $\forall$ -elim, etc.).

You may also mix styles (derivations, calculations, natural language).

**You should, however, explicitly refer to the set-theoretic properties (Property of  $\cap$ , Definition of  $\subseteq$ , etc.) in your proof.**

\* Leibniz for equality of sets may be used as well, although it is not in the table.

To refute the validity of a set-theoretic property, it is *not* enough to provide a diagram, or an informal reasoning of another kind.

A **counterexample** consists of

1. clear and concrete declarations of the sets involved (e.g., *let*  $A = \dots$ , *let*  $B = \dots$ );
2. evaluation of the expressions involved (e.g., *then*  $A \cup B = \dots$ );
3. a convincing argument why the property is refuted (e.g., *since the left-hand side of the implication is true, but the right-hand side is not, it follows that the implication is false*).